

HIGH NET WORTH INDIVIDUALS STATEMENT

Client Name:

“I make this statement so that I am able to receive promotions of units in unregulated collective investment schemes where such promotions are exempt from the restriction in section 238 of the Financial Services and Markets Act 2000. The exemption relates to certified high net worth individuals and I declare that I qualify as such. I accept that the schemes to which the promotions will relate are not authorised or recognised for the purposes of the Act. I am aware that it is open to me to seek advice from an authorised person who specialises in advising on this kind of investment”

Client Signature:

Date:

Within the last 12 months the person to whom the statement relates, either:

- (i) had during the financial year preceding the date of the certificate an annual income of not less than £100,000; or
- (ii) held throughout the financial year immediately preceding the date on which that certificate is signed, net assets (excluding his home, life insurance and pension) to the value of not less than £250K