



## Derby

# Our Offices

Whitehall Financial Independent Ltd (WFI) in Derby was established as a branch of Sheffield based Whitehall Financial Independent in June 2002. Since then the firm have acquired newly built premises on the business and trading estate at Pride Park in Derby and expanded with the appointments of Ray Shepherd an experienced Chartered Financial Planner and Colin Roberts. In 2009, to strengthen the advisory base and to help develop the professional connections with local accountants and solicitors in the Derby area, two further experienced advisers joined the firm, Stephen Meadows and Mark Tenant.

The complimentary skills of Ray, Colin, Stephen and Mark together with an impressive team of additional finance specialists from WFI offer a professional and high level of service to both corporate and personal clients.

WFI has seen significant growth over recent years and the company has expanded its operation with the introduction of a satellite branch in Lincoln in addition to Derby. This expansion is set to continue as WFI aim to become a well respected and recognised regional IFA firm.

The Sheffield head office provides the centralised administration support for WFI, including branch offices and the company's various divisions such as Whitehall Mortgage Services.

### Location

Whitehall Financial Independent's Derby office is conveniently located at Pride Park.

# Our Offices

## Services

Offering truly tax efficient solutions to clients with widely differing needs, WFI delivers a total wealth management service incorporating virtually every facet of financial planning and investment.

### ■ Corporate Clients

- Use of profits from the business
- Property purchase
- Corporation tax mitigation
- Planning for the sale of business and or retirement
- Arranging borrowing
- Planning for the unexpected, namely death or illness
- Employee pension and benefit packages

### ■ Private Clients

- Reducing income tax payable
- Mitigating capital gains tax
- Funding for retirement
- Tax efficient investment for capital growth or income
- Protection for families
- Mitigating inheritance tax
- Ensuring existing investments match needs and attitude to risk

## Training & Development

To ensure the highest possible standards, every adviser within WFI has their new business submissions checked regularly, they also undergo frequent one-to-one meetings with the company Training and Competence Officer to review any potential areas of development.

A designated member of the administration team is responsible for training our support personnel on our computerised office system and administration procedures, whilst a qualified adviser provides training on our business sourcing systems.

Our experienced support team brings a wealth of knowledge gained through many diverse sectors of the financial services industry. We encourage and assist each member of the team to study for industry qualifications and a number of Certificate of Financial Planning (CFP) qualified staff and advisers are currently studying towards the Advanced Diploma in Financial Planning or other industry related advanced qualifications.

## Compliance Control

Whitehall Financial Independent Limited is authorised and regulated by the Financial Services Authority (FSA). Our aim is to provide the highest levels of service and best advice to our clients and to that end we engage the UK's largest compliance consultancy, Bankhall who assist us with our compliance control issues and provide ongoing support in this vital area. This support and the close supervision within our company ensure that the advice given to our clients aims to be at all times fully in line with the FSA guidelines.

The Financial Services Authority does not regulate taxation and trust advice or some aspects of commercial mortgages and employee benefits.

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